

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

GRI-01-01

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/914,638

INTERNATIONAL APPLICATION NO.

PCT/US00/05735

INTERNATIONAL FILING DATE

03/03/2000

PRIORITY DATE CLAIMED

03/03/1999

TITLE OF INVENTION

METHOD FOR SOLID OXIDE FUEL CELL ANODE PREPARATION

APPLICANT(S) FOR DO/EO/US

Raymond J. GORTE et al.

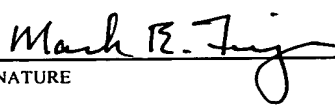
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND OR SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)). (verified)
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). (verified)
9. ☒ Two oaths or declarations of the inventor(s) (35 U.S.C. 371(c)(4)). (executed, attached to a copy of the International Application)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification. (** attached to a red-ink marked-up version of the English language translation)
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - Copy of Notification of Missing Requirements Under 35 U.S.C. 371
 - Certificate of Mailing by Express Mail (2 pages)
 - Return Receipt Postcard
 - Communication - two (2) pages
 - Two (2) Verified Claim for Small Entity Status documents - executed

EXPRESS MAIL NO.: EL649156319US
MAILED: 27 December 2001

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/914,638		INTERNATIONAL APPLICATION NO. PCT/US00/05735		ATTORNEY'S DOCKET NUMBER GRI-0013			
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$ 860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$ 710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00				CALCULATIONS		PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =							
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	- 20 =		X \$18.00				
Independent claims	- 03 =		X \$80.00				
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00				
TOTAL OF ABOVE CALCULATIONS =				\$ 130.00			
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ 65.00			
SUBTOTAL =				\$ 65.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+			
TOTAL NATIONAL FEE =							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+			
TOTAL FEES ENCLOSED =							
01/07/2002 UEDUVIJE 00000153 502045 09914638 01 FC:254 65.00 CH				Amount to be: refunded		\$	
				charged		\$ 65.00	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fee is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>502045</u> in the amount of \$ <u>65.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>502045</u> . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO: Gas Technology Institute 1700 South Mount Prospect Road Des Plaines, Illinois 60018 (847) 768-0832 Fax: (847) 768-0802				<div style="text-align: center;">  SIGNATURE </div> <div style="text-align: center;"> Mark E. Fejer NAME </div> <div style="text-align: center;"> 34,817 REGISTRATION NUMBER </div>			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Raymond J. GORTE
John M. VOHS
Radu CRACIUN

Title: METHOD FOR SOLID OXIDE FUEL CELL
ANODE PREPARATION

Serial No.: 09/914,638

Based Upon: PCT/US00/05735

Express Mail No.: EL649156319US

Date of Deposit: 27 December 2001

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PCT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

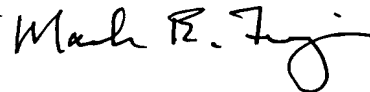
I hereby certify that the following requisites of the subject patent application are being deposited with the United States Postal Service as Express Mail Post Office to Addressee No. EL649156319US, on 27 December 2001, and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231, together with the items listed below.

- Transmittal Letter (Form PTO-1390), in duplicate

Serial No.: 09/914,638

- Two (2) executed Combined Declaration, Power of Attorney and Petition documents attached to a copy of the International Application
- Two (2) executed Claim for Small Entity Status documents
- Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)
- Communication - two (2) pages
- Return Receipt Postcard

Respectfully submitted,



Mark E. Fejer
Regis. No. 34,817

Gas Technology Institute
1700 South Mount Prospect Road
Des Plaines, Illinois 60018
TEL (847) 768-0832; FAX (847) 768-0802

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Raymond J. GORTE
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Title: METHOD FOR SOLID OXIDE FUEL CELL
ANODE PREPARATION

Serial No.: 09/914,638

Based Upon: PCT/US00/05735

Express Mail No.: EL649156319US

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COMMUNICATION

Box PCT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

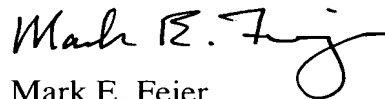
This communication is being filed in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) in which it is stated that there are twenty-four (24) claims pending in the subject application. Applicants respectfully urge that, as a result of an Amendment Under Article 19(1) PCT by which Claims 3, 12, 16 and 22 were cancelled from the subject application, there are only twenty (20) claims pending in the subject application, namely Claims 1, 2, 4-11, 13-15, 17-21, 23 and 24.

Serial No.: 09/914,638

Accordingly, Applicants respectfully urge that examination of the subject application should be based upon the claims as amended by the Amendment Under Article 19(1) PCT.

Applicants respectfully urge that it is the intention of Applicants to be fully responsive to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US). Accordingly, Applicants respectfully request that any issues regarding this Communication and the Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) filed together with this Communication be directed to the undersigned.

Respectfully submitted,



Mark E. Fejer
Regis. No. 34,817

Gas Technology Institute
1700 South Mount Prospect Road
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